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PATENT
Docket No. 300622005500

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Assistant Commissioner for Patents, Washington, D.C. 20231, on August 2, 2002

Irina Britva
Irina Britva

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In the application of:

Chaitan KHOSLA et al.

Serial No.: 09/867,845

Filing Date: May 29, 2001

For: DESIGN OF POLYKETIDE SYNTHASE
GENES

Examiner: To Be Assigned

Group Art Unit: 1633

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This application claims the benefit of the filing dates of United States provisional application serial numbers 60/207,331, filed May 30, 2000 and 60/237,382, filed October 4, 2000.

The documents listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 300622005500. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 2, 2002

Respectfully submitted,

By: Brenda J. Wallach
Brenda J. Wallach
Registration No. 45,193

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Form PTO-1449

Docket Number 300622005500

Application Number 09 867,845

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary.)

Applicant

Chaitan KHOSLA et al.

Filing Date May 29, 2001

Group Art Unit 1633

Mailing Date August 2, 2002

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
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OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

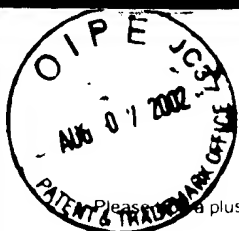
Examiner Initials	Ref. No.	Title
	1.	Khosla, C. et al. (1996) <i>Trends in Biotechnology</i> 14(9):335-341
	2.	Siani, M.A. et al. (1994) <i>J Chem Inf Comput Sci</i> 54:588-593
	3.	Siani, M.A. et al. (2000) <i>J of Molecular Graphics and Modelling</i> 18(4-5):497-511
	4.	Xue, Q. et al. (1999) <i>PNAS USA</i> 96(21):11740-11745

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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/867,845

Filing Date May 29, 2001

First Named Inventor Chaitan KHOSLA

Group Art Unit 1633

Examiner Name To Be Assigned

Attorney Docket No. 300622005500

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ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declarations

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Supplemental Information Disclosure Statement; PTO form 1449; and 4 references

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Termina Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify
below): Return postcard;
copy of the International Search
Report

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm
or

Individual Name

Brenda J. Wallach
Registration No. 45,193

Signature

Brenda J. Wallach

Date

August 2, 2002

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Irina E. Briva
Irina E. Briva

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